# Berberine Research and Clinical Applications

# Research Highlights (see references below)

- Berberine is a natural alkaloid found in a wide variety of herbs with antimicrobial, anti-inflammatory, and antioxidative effects.
- ✓ Berberine exerts its metabolic and cardioprotective effects by regulating multiple mechanisms involving glucose and insulin metabolism, lipid metabolism, endothelial function, and gut microbiota modulation.
- ✓ The clinical efficacy and safety of berberine has been demonstrated in multiple human clinical trials.

# Introduction

Berberine is a naturally occurring compound found in the stem, root, and bark of several plants and herbs, such as Amur cork tree (*Phellodendron amurense*) and Huanglian (*Coptis chinensis* Franch). Berberine has been used in traditional Chinese and Ayurvedic medicine for centuries for the treatment of indigestion, dysentery, and a wide range of infections.<sup>1</sup> In the 1980s, the hypoglycemic effect of berberine was discovered.<sup>2</sup> Since then, a robust amount of research on this alkaloid's pharmacological effects have been elucidated, including its antimicrobial, anti-inflammatory, and antioxidative properties as well as its hypoglycemic action and beneficial effects on lipid metabolism.<sup>1,3</sup>

## **Mechanisms of action**

Berberine exerts its metabolic and cardioprotective effects by regulating multiple molecular targets and pathways. For example:

#### Glucose and insulin metabolism

- Berberine activates adenosine monophosphate kinase (AMPK), a key regulator in energy metabolism in adipocytes and muscle cells, leading to improved insulin sensitivity, reduced fat accumulation, and energy storage.<sup>4</sup>
- Berberine upregulates the expression of insulin-receptor gene through activation of protein kinase C (PKC) in the liver and muscle cells, leading to improved cellular glucose utilization and reduced insulin resistance.<sup>5</sup>

#### Lipid metabolism

- Berberine increases the expression of the liver low-density lipoprotein receptor (LDLR) gene by stabilizing its mRNA, which leads to improved clearance of LDL-cholesterol.<sup>6</sup>
- The pro-protein convertase subtilisin/kexin type 9 (PCSK9) downregulates LDLR by promoting degradation of LDLR. Berberine decreases PCSK9 mRNA and protein levels, thereby blocking the PCSK9-mediated LDLR degradation.<sup>7</sup>

#### **Endothelial function**

- High oxidative stress and low nitric oxide production due to dysregulated glucose, lipid metabolism, and proinflammatory factors contribute to endothelial dysfunction.<sup>8</sup> Via activation of AMPK, berberine increases endothelial nitric oxide synthase (eNOS) expression and suppresses oxidative stress.<sup>9</sup>
- Berberine reduces oxidized LDL (oxLDL)-stimulated production of reactive oxygen species and monocyte adhesion to endothelial cells via suppression of vascular cell adhesion molecule-1 (VCAM-1) and intercellular adhesion molecule-1 (ICAM-1).<sup>10</sup>

#### Gut microbiota modulation

- Berberine increases the amount of gut bacteria that produce shortchain fatty acids (SCFAs). Increased levels of SCFAs contribute to numerous benefits for the host.<sup>11,12</sup>
- Berberine increases abundance of Akkermansia spp. (which regulates inflammation and gut barrier integrity) and decreases endotoxemia as well as reduces intestinal and arterial proinflammatory mediators in mice fed a high-fat diet.<sup>13</sup>



#### **Clinical Applications at a Glance**

#### The health benefits of berberine have been studied in many different areas. Key human clinical studies involving berberine are summarized below.

Health Condition (Sample Size)	Study Design; Berberine Dosage	Main Findings
T2D (n=36) <sup>14</sup>	Pilot trial; 500 mg t.i.d. or metformin (500 mg t.i.d.) for 3 mo	<ul> <li>The hypoglycemic effect (reduction in HbA1c, fasting blood glucose, postprandial blood glucose and plasma TG) of berberine was similar to that of metformin</li> </ul>
T2D and dyslipidemia (n=116) <sup>15</sup>	DB RCT; 500 mg b.i.d. or placebo for 3 mo	Significant reductions in fasting plasma glucose, postprandial plasma glucose, HbA1c, TG, total cholesterol, and HDL-C vs. placebo
T2D (n=97) <sup>16</sup>	RCT; 1,000 mg/d or metformin (1.5 g/d) or rosiglitazone (4 mg/d) for 2 mo	<ul> <li>Reductions in fasting blood glucose, HbA1c, and TG vs. baseline</li> <li>Hypoglycemic effects similar to those of metformin and rosiglitazone</li> </ul>
T2D (n=2,313) <sup>17</sup>	Review of 28 clinical trials; 300-3,000 mg/d (majority 900-1,800 mg/d) for 14-730 d (majority 30-90 d); control groups varied (lifestyle, metformin, simvastatin, sulfonylurea, or placebo)	<ul> <li>Pooled results showed reductions in fasting blood glucose, postprandial blood glucose, and HbA1c vs. control</li> </ul>
Hypercholesterolemia but low CV risk (n=144) <sup>18</sup>	DB RCT; after 6 mo diet (600 kcal daily deficit) and exercise (20-30 min, 3-4 times/wk), 500 mg b.i.d. or placebo for 3 mo; after a 2-mo washout period, 500 mg b.i.d. or placebo for additional 3 mo	<ul> <li>6 mo run-in reduced body weight and BMI as expected</li> <li>The first 3 mo berberine reduced total cholesterol and LDL-C vs. placebo</li> <li>Lipids worsened during washout period as expected</li> <li>Resumed berberine for 3 mo reduced total cholesterol, LDL-C, and TG and increased HDL-C vs. placebo</li> </ul>
Hyperlipidemia (n=874) <sup>19</sup>	Review of 11 RCTs; 900-1,500 mg/d for 8-52 wk (majority 8-12 wk); control groups varied (lifestyle, metformin, simvastatin, sulfonylurea, or placebo)	<ul> <li>Pooled results showed reductions in total cholesterol, TG, and LDL-C and increases in HDL-C vs. control</li> </ul>
Dyslipidemia (n=2,147) <sup>20</sup>	Meta-analysis of 16 RCTs	<ul> <li>Pooled results showed reductions in total cholesterol, LDL-C, and TG and increases in HDL-C vs. control</li> </ul>
Metabolic syndrome (n=80) <sup>21</sup>	Clinical trial; receiving 4 tablets (dose not available) t.i.d. or regular therapy for 1 mo	<ul> <li>Reductions in fasting blood glucose, postprandial blood glucose, insulin resistance index, and blood lipid indexes vs. regular therapy</li> <li>Reductions in the inflammatory markers, hs-CRP, IL-6, and TNF-α vs. regular therapy</li> </ul>
PCOS and insulin resistance (n=89) <sup>22</sup>	RCT; all received cyproterone acetate, then randomly assigned to 500 mg t.i.d. or metformin 500 mg t.i.d., or placebo for 3 mo	<ul> <li>Reductions in WC, WHR, total cholesterol, TG, and LDL-C, and increases in HDL-C and SHBG vs. metformin</li> <li>Reductions in WHR, fasting blood glucose, fasting insulin, HOMA-IR, total cholesterol, LDL-C, and TG and increases in HDL-C and SHBG vs. placebo</li> </ul>
PCOS undergoing IVF treatment (n=150) <sup>23</sup>	RCT; 500 mg t.i.d. or metformin 500 mg t.i.d. or placebo for 3 mo before ovarian stimulation	<ul> <li>Reductions in total testosterone, free androgen index, fasting glucose, fasting insulin, and HOMA-IR and increases in SHBG vs. placebo</li> <li>Treatment before the IVF cycle increased the pregnancy rate and reduced the incidence of severe ovarian hyperstimulation syndrome</li> </ul>
PCOS and insulin resistance (n=735) <sup>24</sup>	Meta-analysis of 9 RCTs; 900-1,500 mg/d or metformin 500-1,500 mg/d or cyproterone 1 tablet/d for 3 mo	<ul> <li>Effects on BMI, HOMA-IR, total cholesterol, TG, and LDL-C similar to those of metformin</li> <li>Combination of berberine with cyproterone was more effective than cyproterone alone in reducing WHR, HOMA-IR, total cholesterol, TG, and LDL-C and increasing HDL-C</li> </ul>
NAFLD (n=501) <sup>25</sup>	Meta-analysis of 6 RCTs; 300-500 mg t.i.d. for 12-16 wk	Reductions in total cholesterol, LDL-C, HbA1c, postprandial glucose, and ALT vs. control
Acute coronary syndrome following PCI (n=130) <sup>26</sup>	Clinical trial; receiving 300 mg t.i.d. plus standard therapy or standard therapy alone for 1 mo	Reductions in the inflammatory markers, MMP-9, ICAM-1, and VCAM-1 vs. standard therapy
IBS-D (n=196) <sup>27</sup>	RCT; 400 mg/d or placebo for 8 wk followed by a 4-wk washout	<ul> <li>Reduction of diarrhea frequency, abdominal pain frequency, and urgent need for defecation frequency vs. placebo</li> </ul>

ALT= alanine transaminase; BMI= body mass index; CV= cardiovascular; d= day(s); DB= double-blind; HbA1c= hemoglobin A1c; HDL-C= high-density lipoprotein cholesterol; hs-CRP= high-sensitivity C-reactive protein; HOMA-IR= homeostatic model assessment of insulin resistance; ICAM-1= intercellular adhesion molecule-1; IL-6= interleukin-6; IBS-D= diarrhea predominant irritable bowel syndrome; IVF= *in vitro* fertilization; LDL-C= low-density lipoprotein cholesterol; MMP-9= matrix metalloproteinase-9; mo= month(s); NAFLD= nonalcoholic fatty liver disease; PCI= percutaneous coronary intervention; PCOS= polycystic ovary syndrome; RCT= randomized controlled trial; SHBG= sex hormone-binding globulin; T2D= type 2 diabetes; TG= triglycerides; TNF-α= tumor necrosis factor-α; VCAM-1= vascular cell adhesion molecule-1; WC= waist circumference; WHR= waist-to-hip ratio; wk= week(s)

### Safety

- The safety of berberine has been demonstrated in multiple meta-analyses involving subjects with dyslipidemia, hyperlipidemia, or type 2 diabetes; no severe adverse events associated with berberine were reported.<sup>19,20,28</sup>
- In a meta-analysis involving women with polycystic ovary syndrome and insulin resistance, the adverse event profile of berberine is less severe than that of metformin.<sup>24</sup>
- The main complaints associated with berberine consumption were gastrointestinal, such as diarrhea, constipation, and flatulence.<sup>14,19</sup>

#### **Potential drug interactions**

- Two weeks of berberine administration (300 mg t.i.d.) decreased cytochrome P450 (CYP)2D6, CYP2C9, and CYP3A4 activities in 17 healthy male subjects, although variability among subjects was relatively large.<sup>29</sup>
- Combined administration of berberine (300 mg/d) with simvastatin (40 mg/d) or with fenofibrate (200 mg/d) for seven days did not result in clinically obvious pharmacokinetic interactions.<sup>30</sup>
- Potential interaction between berberine and metformin has not been studied in humans, although metformin is not metabolized by CYP enzymes and is excreted unchanged in the urine.<sup>31</sup>
- One study found berberine increased bioavailability of P-glycoprotein substrates digoxin and cyclosporine in rats.<sup>32</sup> However, the effect of berberine on P-glycoprotein expression/activity depends on the cell line studied.<sup>33</sup> Therefore, potential interactions between berberine and P-glycoprotein substrates in humans remain to be studied.

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