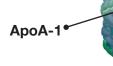
# Treating HDL Dysfunction

Bioactives and lifestyle factors can improve HDL function. Here you'll see the mechanisms supported.



## **Endothelial effects:**

- Vasodilation
- Flow-mediated vasodilation
- Reduction of ischemia
- · Endothelial nitric oxide production



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### HDL composition effects:

- HDL-P
- HDL size/maturation
- HDL type
- Activity/expression/modification of:
- · PON
- · apoA-I
- · LCAT
- · CETP
- · SAA

### Cholesterol loaded cell effects:

- †Increase cholesterol efflux
- ↓Reduce macrophage cytokine secretion
- ↓Reduce LDL oxidation
- ↓Reduce intima-media thickness
- ↓Reduce reactive oxygen species



#### Other abbreviations:

- ApoA-I: apolipoprotein A-I; responsible for cholesterol transport into HDL particle.
- CETP: cholesteryl ester transfer protein; serum protein involved in lipid exchange between lipoprotein classes. Inhibiting this protein has been a drug target action for reducing atherosclerosis.
- LCAT: lecithin-cholesterol acyltransferase; packs cholesterol into the HDL core.
   PON: paraoxonase; protects HDL particle
- SAA: Serum amyloid A; an acute-phase inflammatory protein that can displace functional proteins on HDL particles.

### Liver effects:

Increased expression and activity of cholesterol transporters involved in accepting cholesterol from HDL particles

# Pomegranate Clinical Evidence Related to HDL Function and Cardiovascular Health

Ingredient	Study Design	Main Findings
Pomegranate juice (130 mg/day GAE polyphenols) <sup>1</sup>	Clinical study in men with T2D (n=6); 50 mL/day for 4 wk	<ul> <li>Increased PON1 binding to HDL via reduction in oxidative stress</li> </ul>
Pomegranate juice (130 mg/day total polyphenols) or pomegranate polyphenol extract (650 mg/day total polyphenols) <sup>2</sup>	Clinical study in adults with T2D (n=30) ; 50 mL/day juice for 4 wk or 5 mL day extract for 6 wk	<ul> <li>Increased PON1 activity vs. baseline</li> <li>Increased PON1 protein binding to HDL vs. baseline</li> <li>Decreased serum oxidative stress vs. baseline</li> </ul>
Pomegranate juice* <sup>3</sup>	Clinical study in adults with T2D (n=50); 200 mL/day for 6 wk	<ul> <li>Increased PON activity vs. baseline</li> <li>Decreased serum MDA vs. baseline</li> <li>Improved serum fasting glucose, total cholesterol, and LDL cholesterol vs. baseline</li> </ul>
Pomegranate juice*4	Clinical study in patients with CAS; 10 were supplemented for 1 year, and 5 continued for 3 years	<ul> <li>Increased serum PON1 activity vs. baseline</li> <li>Reduced intima-media thickness vs. baseline</li> <li>Reduced LDL oxidation at vs. baseline</li> <li>Increased serum total antioxidant status vs. baseline</li> </ul>
Pomegranate juice (1.5 mM/day total polyphenols)⁵	Clinical study in healthy male volunteers (n=3 or 13); 50 mL/day for 2 wk	<ul> <li>Increased serum PON activity vs. baseline</li> <li>Reduced HDL oxidation <i>ex vivo</i> vs. baseline</li> <li>Increased plasma total antioxidant status vs. baseline</li> </ul>
Pomegranate juice (1.5 mM/day total polyphenols) <sup>6</sup>	Clinical study in healthy men (n=10) and men with T2D (n=10); 50 mL/day for 3 mo	<ul> <li>Increased serum PON1 activity vs. baseline</li> <li>Reduced serum lipid peroxides vs. baseline</li> <li>Reduced oxidative stress in macrophages vs. baseline</li> </ul>
Pomegranate juice*7	RCT in subjects at moderate risk for CHD (n=289); 240 mL/day or placebo for up to 18 mo	<ul> <li>Slowed carotid intima-media thickness progression in subjects with increased oxidative stress</li> </ul>
Pomegranate extract (650 mg/day GAE polyphenols) <sup>8</sup>	RCT in hypercholesterolemic adults re- ceiving statin (n=23); 650 mg/day or placebo for 2 mo	Decreased oxidative stress in monocyte- derived macrophages vs. baseline
Pomegranate extract <sup>9</sup>	RCT in individuals with BMI $\ge$ 25 (n=48); 1,000 mg/day or placebo for 1 mo	<ul> <li>Decreased inflammatory biomarkers, serum hs-CRP and IL-6</li> <li>Decreased lipid peroxidation biomarker, serum MDA</li> <li>Improved serum fasting glucose, insulin, total cholesterol, HDL cholesterol, and triglycerides</li> </ul>
Pomegranate juice*10	RCT in patients who had CHD and MI (n=45); 240 mL/day or placebo for 3 mo	Decreased stress-induced ischemia
Concentrated pomegranate juice (350 mg/day total polyphenols) <sup>11</sup>	Clinical study in patients with T2D and hyperlipidemia (n=22); 40 g/day for 8 wk	<ul> <li>Improved serum lipids (total cholesterol, LDL cholesterol, total cholesterol/HDL cholesterol, LDL cholesterol/HDL cholesterol) vs. baseline</li> </ul>
Concentrated pomegranate juice (3.15 mg/day total polyphenols) <sup>12</sup>	Clinical study in adults with T2D (n=40); 50 g/day for 4 wk	<ul> <li>Reduced serum levels of IL-6 vs. baseline</li> <li>Increased serum total antioxidant capacity vs. baseline</li> </ul>

\*Amount of total polyphenols was not specified for these studies. Analysis of commercially available pomegranate juice has indicated total polyphenol content ranging from 3.15–437.5 mg/50 mL (/50 g).

BMI= body mass index; CAS= carotid artery stenosis; CHD= coronary heart disease; GAE= gallic acid equivalents;
 HDL= high-density lipoprotein; hs-CRP= high-sensitivity C-reactive protein; IL= interleukin; LDL= low-density lipoprotein; MDA= malondialdehyde;
 MI= myocardial ischemia; mo= month(s); PON= paraoxonase; RCT= randomized controlled trial; T2D= type 2 diabetes; wk= week(s)

# Quercetin Clinical and Preclinical Evidence Related to HDL Function and Cardiovascular Health

Ingredient	Study Design	Main Findings
Onion extract <sup>13</sup>	Clinical study in healthy men (n=23); 4.3 g/day containing 51 mg/day quercetin for 1 mo	Improved postprandial flow-mediated vasodilation vs. baseline
Quercetin <sup>14</sup>	Female C57BL/6 mice; 0.05-2 mg/g diet for 6 wk	Increased hepatic mRNA and protein levels of PON1 vs. control
Quercetin <sup>15</sup>	LDLR <sup>.≁</sup> mice fed an atherogenic liquid diet; 0-25 mg/dL quercetin for 8 wk	<ul> <li>Decreased aortic plaques in the ≥ 12.5 mg/dL groups</li> <li>Increased liver and serum PON1 mRNA expression in the ≥ 12.5 mg/dL groups</li> </ul>
Quercetin <sup>16</sup>	<i>apoE1</i> <sup>./.</sup> mice fed a high-fat diet; 12.5 mg/ kg/day or placebo for 8 wk	<ul> <li>Increased cholesterol efflux from macrophages to HDL</li> <li>Increased cholesterol transport to the liver and bile for excretion</li> </ul>
Quercetin <sup>17</sup>	Rats; 10 mg/L or control for 4 wk	<ul> <li>Increased hepatic expression of PON1</li> <li>Increased serum and liver PON1 activities</li> <li>Protected against LDL oxidation</li> </ul>
Quercetin <sup>18</sup>	<i>apoE1<sup>,,</sup></i> mice fed a high-fat diet; 12.5 mg/ kg/day or atorvastatin 2.06 mg/kg/day or control for 12 wk	<ul> <li>Reduced serum levels of oxLDL</li> <li>Reduced TNF-α and IL-6 levels</li> <li>Reduced areas of atherosclerotic plaque and increased plaque stability at the aortic root</li> <li>Increased PPARγ, LXRα, and ABCA1 protein levels in aortas and livers</li> </ul>
Quercetin <sup>19</sup>	Rabbits fed high-fat diets; 0.05 mg/kg/day or control for 12 wk (aortic atherosclerosis model) or 4 wk (injured carotid artery model)	Reduced formation of atherosclerotic plaques in both models
Quercetin <sup>20</sup>	Male Wistar rats; receiving quercetin (dosage n/a) or control	<ul> <li>Increased expression and activity of hepatic cholesterol 7α-hydroxylase</li> <li>Increased expression and activity of hepatic ABCG1</li> </ul>
Quercetin <sup>21,22</sup>	In vitro model	<ul><li>Enhanced cholesterol efflux from RAW264.7 macrophages</li><li>Increased ABCA1 mRNA and protein expression in macrophages</li></ul>
Quercetin <sup>23</sup>	In vitro model	<ul> <li>Increased cholesterol efflux from foam cells</li> <li>Activated PPARγ-LXRα pathway to upregulate ABCA1 expression</li> </ul>
Quercetin <sup>24</sup>	In vitro model	<ul> <li>Induced apoA-I protein and mRNA synthesis in HepG2 (hepatocytes) and Caco-2 (intestinal) cells</li> </ul>

ABCA1 = ATP-binding cassette transporter A1; ABCG1 = ATP-binding cassette transporter G1; apoA-I = apolipoprotein A-I; HDL= high-density lipoprotein; IL= interleukin; LDL= low-density lipoprotein; LXR= liver X receptor; mo= month(s); mRNA= messenger RNA; oxLDL= oxidized LDL; PON= paraoxonase; PPAR= peroxisome proliferator-activated receptor; TNF= tumor necrosis factor; wk= week(s)

### Lycopene Clinical and Preclinical Evidence Related to HDL Function and Cardiovascular Health

Ingredient	Study Design	Main Findings
Lycopene-rich diet or lycopene supplement <sup>25</sup>	RCT in overweight subjects (n=54); lycopene-rich diet (224-350 mg/wk) or lycopene supplement (70 mg/wk) or control diet for 12 wk	<ul> <li>Increased serum HDL2 and HDL3</li> <li>Decreased SAA levels in serum and HDL3</li> <li>Increased PON1 activity in serum, HDL2, and HDL3</li> <li>Increased LCAT activity in serum and HDL3</li> <li>Decreased CETP activity in serum</li> </ul>
Tomato <sup>26</sup>	Clinical study in patients with T2D (n=32); 200 g/ day tomato for 8 wk	<ul> <li>Increased apoA-I vs. baseline</li> <li>Decreased systolic and diastolic blood pressure vs. baseline</li> </ul>
Lycopene <sup>27</sup>	RCT in statin-treated patients with CVD (n=36) and healthy volunteers (n=36); 7 mg/day or placebo for 2 mo	<ul> <li>Improved endothelium-dependent vasodilatation in patients with CVD</li> </ul>
Lycopene and lutein <sup>28</sup>	RCT in subjects with subclinical atherosclerosis (n=144); 20 mg/day lycopene + 20 mg/day lutein for 12 mo	Decreased carotid artery intima-media thickness vs. baseline
Lycopene <sup>29</sup>	RCT in healthy men (n=126); 6 mg/day or 15 mg/day or placebo for 8 wk	<ul> <li>Increased plasma superoxide dismutase activity</li> <li>Reduced DNA damage (oxidative stress) in lymphocytes</li> <li>Increased endothelial function in 15 mg/day group vs. baseline</li> <li>Decreased hs-CRP, sICAM-1, and sVCAM-1 in 15 mg/day group vs. baseline</li> </ul>
Cooked tomato sauce <sup>30</sup>	Pigs fed a hypercholesterolemic diet; 100 g/day containing 21.5 mg/day lycopene or none for 10 days	<ul> <li>Improved HDL function associated with apoA-I and apoJ</li> <li>Prevented diet-induced impairment of endothelial-dependent coronary vasodilation</li> <li>Enhanced eNOS transcription and activation and diminished DNA damage in the coronary arteries</li> <li>Reduced lipid peroxidation</li> </ul>
Lycopene <sup>31</sup>	Diabetic rats and control rats; receiving 10 mg/kg/day or none for 1 mo	Increased PON1 activity
Lycopene <sup>32</sup>	Rabbits fed a high-fat diet; 4-12 mg/kg lycopene or none for 4 and 8 wk	<ul> <li>Reduced serum MDA</li> <li>Reduced oxLDL</li> <li>Reduced IL-1</li> <li>Increased total antioxidant capacity</li> <li>Increased nitric oxide</li> <li>Reduced atherosclerotic plaques in the aorta</li> </ul>
Lycopene <sup>33,34</sup>	In vitro model	Increased expression of ABCA1
Lycopene <sup>35</sup>	<i>In vitro</i> model	<ul> <li>Reduced proinflammatory cytokine secretion and expression in THP-1 macrophages</li> <li>Reduced reactive oxygen species production</li> </ul>

ABCA1 = ATP-binding cassette transporter A1; **apoA-I** = apolipoprotein A-I; **apoJ** = apolipoprotein J; **CETP** = cholesterol ester transfer protein; **CVD** = cardiovascular disease; **DNA** = deoxyribonucleic acid; **eNOS** = endothelial nitric oxide synthase; **HDL** = high-density lipoprotein; **hs-CRP** = highsensitivity C-reactive protein; **IL** = interleukin; **LCAT** = lecithin cholesterol acyltransferase; **MDA** = malondialdehyde; **mo** = month(s); **oxLDL** = oxidized LDL; **PON** = paraoxonase; **RCT** = randomized controlled trial; **SAA** = serum amyloid A; **sICAM-1** = soluble intercellular adhesion molecule-1; **sVCAM-1** = soluble vascular cell adhesion molecule-1; **T2D** = type 2 diabetes; **wk** = week(s)

# α-tocopherol Clinical and Preclinical Evidence Related to HDL Function and Cardiovascular Health

Ingredient	Study Design	Main Findings
a-tocopherol <sup>36</sup>	RCT in individuals with hypercholesterolemia (n=69); 500 IU/day or placebo for 3 mo	<ul><li>Increased apoA-I levels</li><li>Increased apoA-I/apoB ratio</li></ul>
a-tocopherol <sup>37</sup>	RCT in healthy subjects (n=32); 134 mg/ day or 268 mg/day or placebo for up to 28 days	<ul> <li>Increased plasma apoA-I concentration in a time- and dose-dependent manner</li> </ul>
a-tocopherol <sup>38</sup>	RCT in patients with T2D (n=83); 400 IU/ day or placebo for 8 wk	<ul> <li>Increased PON1 activity and total antioxidant status</li> </ul>
a-tocopherol <sup>39</sup>	Clinical study in athletes (n=10) in training; 200 mg/day for 1 mo	<ul> <li>Decreased PON1 postexercise; α-tocopherol prevented decreases in PON1 activity postexercise</li> </ul>
a-tocopherol <sup>40</sup>	Clinical study in male patients with T2D (n=80); 300 mg/day for 4 wk	<ul> <li>Decreased SAA vs. baseline</li> <li>Decreased TNF-α and hs-CRP vs. baseline</li> </ul>
a-tocopherol <sup>41</sup>	Rabbits fed atherogenic diets; 125 IU/day or 1.25 IU/day (control) for 12 wk	<ul><li>Reduced total cholesterol levels</li><li>Reduced esterified artery cholesterol levels</li></ul>

Niacin Clinical Evidence Related to HDL Function and Cardiovascular Health		
Ingredient	Study Design	Main Findings
Niacin <sup>42</sup>	RCT in patients with a history of CVD (n=126) receiving atorvastatin 10-80 mg/ day; 2 g/day or none for 1 yr	<ul> <li>Increased HDL-C by 39%, HDL-P by 14% and total cholesterol efflux capacity by 16%</li> <li>Increased large HDL particles</li> <li>Reduced small HDL particles</li> </ul>
Extended-release nia- cin + laropiprant43	Crossover RCT in statin-treated patients (n=27) who had not achieved the LDL-C target; niacin/laropiprant 1 g/20 mg for 4 wk and then 2 g/40 mg for 8 wk or placebo for 8 wk (4 wk wash-out period)	<ul> <li>Significant reduction in total cholesterol, triglycerides, LDL-C, apoB, Lp(a), CETP activity, oxLDL, Lp-PLa2, lysoPC, MCP-1, SAA</li> <li>No change in HDL antioxidant capacity or PON1 activity</li> <li>19.5% increase in cholesterol efflux capacity of HDL</li> </ul>
Extended-release niacin44	Comparison crossover study in dyslipidemia patients (n=66) with low or normal HDL-C; fenofibrate 160 mg/day for 6 wk or niacin 0.5 g/day for 3 wk and then 1 g/day for 3 wk (4 wk wash-out period)	<ul> <li>Both treatments had a comparable increase in HDL-C and apoA-I, with minor changes in cholesterol efflux capacity</li> </ul>
Extended-release niacin <sup>45</sup>	Crossover RCT in patients with metabolic syndrome (n=37); fenofibrate 160 mg/ day for 6 wk or niacin 0.5 g/day for 3 wk and then 1 g/day for 3 wk (4 wk wash-out period)	<ul> <li>After either treatment patients had HDL with similar endothelial protective properties as from healthy control subjects</li> <li>After treatment HDL particles improved endothelial nitric oxide production</li> </ul>

# Diet and Lifestyle Clinical Evidence Related to HDL Function and Cardiovascular Health

Diet or Lifestyle	Study Design	Main Findings
Mediterranean diet <sup>46</sup>	RCT of Mediterranean diet enriched with virgin olive oil (n=100) or nuts (n=100) compared to low-fat diet (n=96) in patients with high cardiovascular risk for 1 yr	<ul> <li>Improved HDL cardioprotective functions</li> <li>Increased PON activity, cholesterol efflux capacity, vasodilation, and improved HDL composition</li> <li>All diets increased percentage of large HDL particles</li> </ul>
Mediterranean dietary components <sup>47</sup>	Secondary analysis of high cardiovascular risk patients (n=296) from PREDIMED clinical trial 1 yr data	<ul> <li>Increasing virgin olive oil (10 g/d) and whole grain (25 g/d) consumption increases cholesterol efflux capacity</li> <li>Increasing nut (30 g/d), legume (25 g/d), and fish (25 g/d) intake improves PON activity</li> </ul>
Fruits and vegetables <sup>48</sup>	RCT in subjects with obesity and T2D; 1 or $\ge 6$ portions/day for 8 wk	• $\geq$ 6 portions/day increased PON1 and LCAT activities in HDL3
Improving dietary quality and increasing physi- cal activity levels <sup>49</sup>	CT in men with obesity and dyslipidemia (n=113); 160 min/wk moderate intensity aerobic activity + moderate caloric restriction (-500 kcal/day) with macronutrient composition of 45-50% carbohydrate, 20-25% protein and 25-30% from fat for 1 yr; compared with control group (n=32)	<ul><li>Increased cholesterol efflux capacity</li><li>Increased apoA-I levels</li></ul>
Smoking cessation <sup>50</sup>	CT in smokers (n=28) in a smoking cessation program using either varenicline or a transdermal nicotine patch for 12 wk	<ul> <li>Improved cholesterol efflux capacity and HDL inflammatory index compared to participants who were unable to quit smoking</li> </ul>
Smoking cessation <sup>51</sup>	RCT in smokers (n=923); smoking cessation pharmacotherapies for 1 yr	<ul> <li>Participants who quit smoking (36.2%) had increases in HDL-C, HDL-P, and large HDL</li> <li>Effects were more pronounced in women</li> </ul>
Effect of smoking <sup>52</sup>	Clinical study in young smokers (n=21) and healthy controls (n=20) comparing lipoprotein parameters	<ul> <li>HDL from young smokers (&lt; 10 cigarettes/d x 3 yrs) had lower antioxidant capacity, smaller particle size, and increased triglyceride content</li> </ul>
Vigorous prolonged exercise <sup>53</sup>	RCT in 2 cohorts; first group with seden- tary adults with prediabetes with BMI 25-35 (n=106) and the second group with similar but nondiabetic adults (n=90); varying levels of exercise for 6 mo	<ul> <li>Vigorous endurance exercise improved cholesterol efflux capacity</li> <li>Non-ABCA1 cholesterol efflux capacity improved in highest intensity group</li> <li>An exercise intensity or dosage threshold may need to be exceeded to see significant results</li> <li>Exercise matures HDL particles (pre-β to α-HDL)</li> </ul>
Exercise <sup>54</sup>	RCT of women with obesity (n=32); combined aerobic and resistance exercise (moderate-vigorous intensity, 40-60 min, 4x/ wk) or control for 12 wk	<ul> <li>HDL subclasses were restored to "nonobese" state in this population</li> <li>Decreased PON activity, antithrombotic actions, and distribution of small HDL particles—participants did not lose significant amount of weight</li> <li>Cholesterol efflux capacity unchanged</li> </ul>
Exercise-based cardiac rehabilitation <sup>55</sup>	Retrospective analysis of patients (n=57) with acute coronary syndrome who completed or dropped out (used as control) of an exercise-based program (gymnastics and aerobic exercise) 30 min, 3-5x/wk for 6 mo	<ul> <li>Increased HDL cholesterol efflux capacity in participants who successfully completed the program and stopped smoking</li> <li>Program increased exercise capacity</li> </ul>
Therapeutic life- style changes <sup>56</sup>	Prospective pilot study in patients with metabolic syndrome (n=25); 180 min/wk of exercise at 85% maximum heart rate for 12 wk	<ul><li>Reduced oxidation molecules associated with HDL activity</li><li>Increased cholesterol efflux capacity</li></ul>

**ABCA1**= ATP-binding cassette transporter A1; **apoA-I**= apolipoprotein A-I; **BMI**= body mass index; **CT**= clinical trial; **HDL**= high-density lipoprotein; **LCAT**= lecithin cholesterol acyltransferase; **mo**= month(s); **PON**= paraoxonase; **RCT**= randomized controlled trial; **T2D**= type 2 diabetes; **wk**= week(s); **yr**= year(s)

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